Kolmogorov complexity and Solovay functions

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(joint work with Rod Downey)

Logic Colloquium August 1, 2009 Sofia, Bulgaria Plain Kolmogorov complexity C and prefix-free Kolmogorov complexity K are both non-computable functions.

However, they are right-computable i.e. there exists a computable function $(x, s) \mapsto C_s(x)$ such that for all x

- the sequence $s \mapsto C_s(x)$ is nonincreasing
- $\lim_{s} C_{s}(x) = C(x)$

and similarly there exists a function $(x, s) \mapsto K_s(x)$ for *K*.

This gives us an easy way to construct computable upper bounds of *C* or *K*: take a computable function $t : 2^{<\omega} \to \mathbb{N}$ and set

$$f(x) = C_{t(x)}(x)$$

Then *f* is a computable upper bound of *C*.

Approximating C and K (3)

How good are such approximations?

How good are such approximations? In general, very bad!!

Proposition

Let f be a computable upper bound of K and Ψ any computable function. There exist infinitely many strings x s.t.

 $f(x) > \Psi(K(x))$

Despite this negative result, it turns out that in the theory of randomness for infinite sequences, computable upper bounds of Kolmogorov complexity do a good job. Despite this negative result, it turns out that in the theory of randomness for infinite sequences, computable upper bounds of Kolmogorov complexity do a good job.

Let us take for example the celebrated:

Theorem (Levin-Schnorr)

Let $A \in 2^{\omega}$. Then A is Martin-Löf random if and only if

$$K(A \upharpoonright n) \ge n - O(1)$$

Upper bounds suffice (2)

Theorem (B., Merkle)

Let $A \in 2^{\omega}$. Then A is Martin-Löf random if and only if for every computable upper bound f of K we have:

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Theorem (B., Merkle)

There exists a single computable upper bound F of K such that for all $A \in 2^{\omega}$, A is Martin-Löf random if and only if

$$F(A \upharpoonright n) \ge n - O(1)$$

Upper bounds suffice (3)

Theorem (Gács / Miller, Yu) Let $A \in 2^{\omega}$. Then A is Martin-Löf random if and only if

$$C(A \upharpoonright n) \ge n - K(n) - O(1)$$

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Approximating well i.o. (1)

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For *C*, this is easy. Most strings *x* satisfy C(x) = |x| + O(1), so take g(x) = |x| + c for an appropriate *c*, so that $C \le g$ and $g(x) \le C(x) + O(1)$ for infinitely many *x*.

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Harder: a string *x* has maximal complexity |x| + K(|x|), so it seems that we already need a good approximation of *K*... a vicious circle!!

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Nonetheless,

Theorem (Solovay)

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Proof.

Given x such that K(x) = k, let s be the first integer such that $K_s(x) = k$. Then

$$K(\langle x,k,s\rangle) = K(x) + O(1)$$

and $\langle x, k, s \rangle$ gives enough information to perform the approximation.

Definition

A Solovay function is a computable function f such that

- $K \leq f + O(1)$
- for infinitely many strings x, $f(x) \le K(x) + O(1)$

The condition $K \leq f + O(1)$ in the definition is equivalent to $\sum_{n} 2^{-f(n)} < +\infty$ (identifying \mathbb{N} and $2^{<\omega}$).

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Theorem

Let $f:\mathbb{N}\to\mathbb{N}$ be a computable function. Then f is a Solovay function if and only if the sum

$$\sum_{n} 2^{-f(n)}$$

is finite and is a Martin-Löf random (left-c.e.) real.

Corollary

If α and β are two left-c.e reals, then $\alpha + \beta$ is random if and only either α or β is random.

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Corollary (Miller)

For any $A \in 2^{\omega}$, the following are equivalent (i) A is low for Ω (i.e., Ω is A-random) (ii) A is weakly low for K (i.e., $K(x) = K^{A}(x) + O(1)$ i.o.)

Solovay functions and randomness

Solovay functions naturally come up in Martin-Löf randomness:

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But:

- does any Solovay function do the job?
- does F really need to be a Solovay function?

Thank you