#### Complex algebras of natural numbers

#### Ivo Düntsch Ian Pratt-Hartmann

Department of Computer Science Brock University St Catharines, Canada

School of Computer Science Manchester University Manchester, UK

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## Overview

1. Definitions of algebras of arithmetic circuits.

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- 2. Circuit definable sets and functions.
- 3. Some algebraic properties.
- 4. Decidability questions.

#### The structures

$$\begin{split} \mathbb{N} &:= \langle \omega, +, \mathbf{0}, \cdot, \mathbf{1} \rangle, \quad \mathfrak{Cm}(\mathbb{N}) := \langle 2^{\omega}, \cup, \cap, \emptyset, \omega, +, \{\mathbf{0}\}, \bullet, \{\mathbf{1}\} \rangle \\ \mathbb{N}^+ &= \langle \omega, +, \mathbf{0} \rangle, \qquad \mathfrak{Cm}(\mathbb{N})^+ := \langle 2^{\omega}, \cup, \cap, \emptyset, \omega, +, \{\mathbf{0}\} \rangle \\ \mathbb{N}^\cdot &= \langle \omega, \cdot, \mathbf{1} \rangle \qquad \qquad \mathfrak{Cm}(\mathbb{N})^\bullet := \langle 2^{\omega}, \cup, \cap, \emptyset, \omega, \bullet, \{\mathbf{1}\} \rangle \end{split}$$

$$\begin{array}{l} + & a+b &= \{n+m: n \in a, m \in b\}. \\ \cdot & a \bullet b &= \{n \cdot m: n \in a, m \in b\}. \\ \geq & \uparrow a &= \{k: (\exists n)[n \in a \text{ and } n \leq k\}. \\ \leq & \downarrow a &= \{k: (\exists n)[n \in a \text{ and } k \leq n\}. \end{array}$$

If  ${\mathfrak A}$  is an atomic Boolean algebra with operators, we set

$$\mathfrak{A}_0 :=$$
 Subalgebra of  $\mathfrak{A}$  generated by the constants,  
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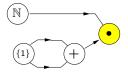
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The elements of  $\mathfrak{Cm}(\mathbb{N})_0$  are called *arithmetic circuits* (McKenzie, Wagner, 2003,2007).

Arithmetic circuits can be displayed graphically

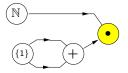
•  $({1} + {1}) \bullet \omega$ , can be depicted as



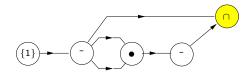
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▶  $\overline{\{1\}} \cap \overline{(\overline{\{1\}} \bullet \overline{\{1\}})}$  can be depicted as



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- Some well-known mathematical conjectures can be 'expressed' in terms of arithmetic circuits.
- Consider the circuit

$$\tau_{\mathrm{g}} = \tau_{\mathrm{e}} \cap \overline{(\{0\} \cup (\{1\} + \{1\}) \cup (\tau_{\mathrm{p}} + \tau_{\mathrm{p}}))}$$

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where  $\tau_e$  is the circuit defining the even numbers, and  $\tau_p$  the circuit defining the primes.

 $\blacktriangleright$  The set  $\tau_{\rm g}$  is empty if and only if Goldbach's conjecture is true.

### Functions

- ▶ Any circuit  $\tau$  featuring variables  $x_1, \ldots, x_k$  defines a function  $\tau(x_1, \ldots, x_k) : (2^{\omega})^k \to 2^{\omega}$  in the obvious way.
- Some circuit–definable functions:
  - The circuit  $\tau_u(x) = x + \omega$  defines the 'up'-function:

$$\uparrow x = \{n \in \omega : (\exists m \in x), m \le n\}$$

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The circuit τ<sub>d</sub>(x) = ({0} ● x) + ω defines the discriminator function

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The circuit \(\tau\_{min}(x) = (\(\(\text{i} + \omega\)) + \{1\}\) ∩ x defines the minimum function for non-empty sets.

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$$s-t = \{k \in \omega : (\exists n, m \in \omega)[n \in s, m \in t, k = n - m]\}$$

$$F_{\max}(s) = \{\max(s)\} \text{ for finite, non-empty } s$$

$$F_{\text{fin}}(s) = \begin{cases} \omega & \text{if } s \text{ is finite} \\ \emptyset & \text{otherwise} \end{cases}$$

$$\Sigma s \text{ if } s \text{ is finite}$$

$$|s| \text{ if } s \text{ is finite.}$$

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# Sets of formulas

Suppose that K is a class of algebras of the same type  $\mathcal{O}$ . We consider the following sets of formulas in the language of  $\mathcal{O}$  (plus equality):

- 1. The *first-order theory* **FO** K *of* K: The set of first-order formulas in the language O true in all algebras in K.
- 2. The equational theory Eq K of K: The set of formulas in the language of the forms  $\tau = \sigma$  whose universal closures are true in K.
- 3. The satisfiable equations **EqSat** K of K: The set of formulas of the forms  $\tau = \sigma$  whose existential closures are true in each member of K.

## Algebras and equations

Cm(N<sup>+</sup>)<sub>0</sub> ≃ Cm(N<sup>•</sup>)<sub>0</sub>, and their universe is the collection of finite or co-finite subsets of ω.

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- The congruences of  $\mathfrak{Cm}(\mathbb{N}^+)$  form a chain of type  $1 + \omega^*$ .
- ► Var Cm(N<sup>+</sup>) is generated by countably many finite finitely based subdirectly irreducible algebras.

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- The congruences of 𝔅𝑘(ℕ<sup>+</sup>) form a chain of type 1 + ω<sup>\*</sup>.
- ► Var Cm(N<sup>+</sup>) is generated by countably many finite finitely based subdirectly irreducible algebras.

- Eq  $\mathfrak{Cm}(\mathbb{N}^+)_0 = \mathsf{Eq} \ \mathfrak{Cm}(\mathbb{N}^+).$
- ▶ Eq  $\mathfrak{Cm}(\mathbb{N}^{+,d})_0 \neq \mathsf{Eq} \mathfrak{Cm}(\mathbb{N}^{+,d}).$
- ▶ **FO**  $\mathfrak{Cm}(\mathbb{N}^+)_0 \neq \mathbf{FO}$   $\mathfrak{Cm}(\mathbb{N}^+)$ .

Membership problem: Given an arithmetic circuit  $\tau$  and a number m, determine whether  $m \in \tau$ .

Emptiness problem: Given an arithmetic circuit  $\tau$ , determine whether  $\tau = \emptyset$ .

Satisfiability problem: Given an n-ary term function  $\tau(x_1, \ldots, x_n)$ and some  $k \in \omega$ , determine whether there are  $k_1, \ldots, k_n \in \omega$  such that  $k \in \tau(k_1, \ldots, k_n)$ .

Problems 1 and 2 are obviously computably equivalent: if one is decidable, so is the other. It is not known whether any of these problems is decidable.

- Variable-free arithmetic circuits without the •-gate are called integer expressions.
- ► The membership and non-emptiness problems for integer expressions are PSPACE-complete (Stockmeyer and Meyer 1973).
- Complexity-theortic results for various collections of gates can be found in (McKenzie and Wagner 2003, 2007), (Yang 2000) (Glaßer *et al.* 2007, 2007)
- For results on equations involving integer expressions, see (Jeż and Okhotin 2008, 2008).

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**Eq**  $\mathfrak{Cm}(\mathbb{N}^{+,d})$  is r.e.-hard.

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The recognition complexity for every *fixed* circuit-definable set is relatively low.

#### Theorem

Every circuit-definable set is in the bounded arithmetic hierarchy, BA (and hence its characteristic function is in  $\mathcal{E}^{0}_{*}$ ).

- ► Hence, every circuit-definable set is certainly:
  - in the polynomial hierarchy, PH;
  - in DSPACE $(n) = \mathcal{E}_*^2$ .
- All circuit-definable sets are certainly context-sensitive. However, the set of primes, which is circuit-definable, is not context-free (Hartmanis and Shank 1968).

Theorem 1 notwithstanding, no nice examples of non-circuit-definable sets are known! ► First main result: functions from N to N having (roughly speaking) infinite range and sublinear growth are not circuit-definable:

Theorem Let  $f : \mathbb{N} \to \mathbb{N}$  be a function. If the set

 $\{f(n):n\in\mathbb{N},\ f(n)< n\}$ 

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is infinite, then f is not circuit-definable.

Second main result: functions from 2<sup>N</sup> to 2<sup>N</sup> which (roughly speaking) have finite range and fail to converge on certain 'sparse' chains under inclusion are not circuit-definable.

#### Definition

Let s be a finite, non-empty set of numbers, t a set of numbers, and m a number. We write  $s \sqsubseteq_m t$  if  $m \ge \max(s)$  and  $s = t \cap \{i \mid i \le m\}$ .

#### Theorem

Let  $F : 2^{\mathbb{N}} \to 2^{\mathbb{N}}$  be a function with finite range. And suppose that, for all finite, non-empty  $s \subseteq \mathbb{N}$  and all  $m \ge \max(s)$ , there exists  $t \subseteq \mathbb{N}$  for which  $s \sqsubseteq_m t$  and  $F(t) \ne F(s)$ . Then F is not circuit-definable.