

Determinacy of Wadge classes in Baire space and simple iteration of inductive definition

Takako Nemoto

Mathematical Institute, Tohoku University, Japan

August 4, 2009

Abstract

Determinacy of Wadge classes in the Cantor space below $\Sigma_2^0 \wedge \Pi_2^0$ make a nice hierarchy.

In this talk, we consider determinacy of those classes in the Baire space.

1. Outline of this talk

- Determinacy?
- Second order arithmetic?
- Wadge classes?
- Determinacy of Wadge classes in the Cantor space
- Determinacy of Wadge classes in the Baire space
 - $\text{Sep}(\Delta_1^0, \Sigma_2^0)\text{-Det} \Leftrightarrow \text{Sep}(\Sigma_1^0, \Sigma_2^0)\text{-Det} \Leftrightarrow \Sigma_2^0\text{-Det}$
 - $\text{Sep}(\Delta_2^0, \Sigma_2^0)\text{-Det}$ and $\Sigma_1^1\text{-TID}$

2. Infinite games?

Let X be either \mathbb{N} or $\{0, 1\}$.

For a given formula $\varphi(f)$,

- Players I and II alternately choose $x \in X$ to form $f \in X^{\mathbb{N}}$.

I	$f(0)$		$f(2)$		$f(4)$	\dots
II		$f(1)$		$f(3)$		$f(5)$ \dots

2. Infinite games?

Let X be either \mathbb{N} or $\{0, 1\}$.

For a given formula $\varphi(f)$,

- Players I and II alternately choose $x \in X$ to form $f \in X^{\mathbb{N}}$.

I	$f(0)$		$f(2)$		$f(4)$	\dots
II		$f(1)$		$f(3)$		$f(5)$ \dots

- I wins iff $\varphi(f)$.

2. Infinite games?

Let X be either \mathbb{N} or $\{0, 1\}$.

For a given formula $\varphi(f)$,

- Players I and II alternately choose $x \in X$ to form $f \in X^{\mathbb{N}}$.

I	$f(0)$		$f(2)$		$f(4)$	\dots
II		$f(1)$		$f(3)$		$f(5)$ \dots

- I wins iff $\varphi(f)$.
- If one of the players has a winning strategy in the above game, $\varphi(f)$ is *determinate*.

3. Classes of games

Define classes of L_2 formulas as follows:

3. Classes of games

Define classes of L_2 formulas as follows:

- φ is Π_0^0 if it is built up from atomic formulas by means of $\wedge, \vee, \neg, \rightarrow$ and bounded number quantifiers;

3. Classes of games

Define classes of L_2 formulas as follows:

- φ is Π_0^0 if it is built up from atomic formulas by means of $\wedge, \vee, \neg, \rightarrow$ and bounded number quantifiers;
- $\varphi \equiv \exists n\theta(n)$ is Σ_{k+1}^0 if θ is Π_k^0 ;

3. Classes of games

Define classes of L_2 formulas as follows:

- φ is Π_0^0 if it is built up from atomic formulas by means of $\wedge, \vee, \neg, \rightarrow$ and bounded number quantifiers;
- $\varphi \equiv \exists n \theta(n)$ is Σ_{k+1}^0 if θ is Π_k^0 ;
- φ is Π_0^1 if it does not contain any set quantifiers;

3. Classes of games

Define classes of L_2 formulas as follows:

- φ is Π_0^0 if it is built up from atomic formulas by means of $\wedge, \vee, \neg, \rightarrow$ and bounded number quantifiers;
- $\varphi \equiv \exists n \theta(n)$ is Σ_{k+1}^0 if θ is Π_k^0 ;
- φ is Π_0^1 if it does not contain any set quantifiers;
- $\varphi \equiv \exists X \theta(X)$ is Σ_{k+1}^1 if θ is Π_k^1 ;

3. Classes of games

Define classes of L_2 formulas as follows:

- φ is Π_0^0 if it is built up from atomic formulas by means of $\wedge, \vee, \neg, \rightarrow$ and bounded number quantifiers;
- $\varphi \equiv \exists n\theta(n)$ is Σ_{k+1}^0 if θ is Π_k^0 ;
- φ is Π_0^1 if it does not contain any set quantifiers;
- $\varphi \equiv \exists X\theta(X)$ is Σ_{k+1}^1 if θ is Π_k^1 ;
- φ is Π_{k+1}^i if it is of the form $\neg\psi$ for some $\psi \in \Sigma_k^i$.

3. Classes of games

Define classes of L_2 formulas as follows:

- φ is Π_0^0 if it is built up from atomic formulas by means of $\wedge, \vee, \neg, \rightarrow$ and bounded number quantifiers;
- $\varphi \equiv \exists n \theta(n)$ is Σ_{k+1}^0 if θ is Π_k^0 ;
- φ is Π_0^1 if it does not contain any set quantifiers;
- $\varphi \equiv \exists X \theta(X)$ is Σ_{k+1}^1 if θ is Π_k^1 ;
- φ is Π_{k+1}^i if it is of the form $\neg \psi$ for some $\psi \in \Sigma_k^i$.
- φ is Δ_n^i if it is Σ_n^i and $\varphi \leftrightarrow \psi$ for some $\psi \in \Pi_n^i$.

3. Classes of games

Define classes of L_2 formulas as follows:

- φ is Π_0^0 if it is built up from atomic formulas by means of $\wedge, \vee, \neg, \rightarrow$ and bounded number quantifiers;
- $\varphi \equiv \exists n \theta(n)$ is Σ_{k+1}^0 if θ is Π_k^0 ;
- φ is Π_0^1 if it does not contain any set quantifiers;
- $\varphi \equiv \exists X \theta(X)$ is Σ_{k+1}^1 if θ is Π_k^1 ;
- φ is Π_{k+1}^i if it is of the form $\neg \psi$ for some $\psi \in \Sigma_k^i$.
- φ is Δ_n^i if it is Σ_n^i and $\varphi \leftrightarrow \psi$ for some $\psi \in \Pi_n^i$.
- $\varphi \equiv \psi \wedge \eta$ is $\Gamma \wedge \Gamma'$ if $\psi \in \Gamma$ and $\eta \in \Gamma'$

3. Classes of games

Define classes of L_2 formulas as follows:

- φ is Π_0^0 if it is built up from atomic formulas by means of $\wedge, \vee, \neg, \rightarrow$ and bounded number quantifiers;
- $\varphi \equiv \exists n \theta(n)$ is Σ_{k+1}^0 if θ is Π_k^0 ;
- φ is Π_0^1 if it does not contain any set quantifiers;
- $\varphi \equiv \exists X \theta(X)$ is Σ_{k+1}^1 if θ is Π_k^1 ;
- φ is Π_{k+1}^i if it is of the form $\neg \psi$ for some $\psi \in \Sigma_k^i$.
- φ is Δ_n^i if it is Σ_n^i and $\varphi \leftrightarrow \psi$ for some $\psi \in \Pi_n^i$.
- $\varphi \equiv \psi \wedge \eta$ is $\Gamma \wedge \Gamma'$ if $\psi \in \Gamma$ and $\eta \in \Gamma'$

Γ *determinacy* asserts that every $\varphi(f) \in \Gamma$ is determinate.

4. Base theory RCA_0

An L_2 -theory RCA_0 consists of:

4. Base theory RCA_0

An L_2 -theory RCA_0 consists of:

Basic arithmetic

Successor $n + 1 \neq 0, \quad n + 1 = m + 1 \rightarrow n = m,$

Addition $n + 0 = n, \quad n + (m + 1) = (n + m) + 1,$

Multiplication $n \cdot 0 = 0, \quad n \cdot (m + 1) = n \cdot m + n,$

Order $\neg m < 0, \quad m < n + 1 \leftrightarrow m \leq n,$

4. Base theory RCA_0

An L_2 -theory RCA_0 consists of:

Basic arithmetic

Successor $n + 1 \neq 0, \quad n + 1 = m + 1 \rightarrow n = m,$

Addition $n + 0 = n, \quad n + (m + 1) = (n + m) + 1,$

Multiplication $n \cdot 0 = 0, \quad n \cdot (m + 1) = n \cdot m + n,$

Order $\neg m < 0, \quad m < n + 1 \leftrightarrow m \leq n,$

Σ_1^0 induction

$\varphi(0) \wedge \forall n(\varphi(n) \rightarrow \varphi(n + 1)) \rightarrow \forall n\varphi(n),$ for $\varphi \in \Sigma_1^0.$

4. Base theory RCA_0

An L_2 -theory RCA_0 consists of:

Basic arithmetic

Successor $n + 1 \neq 0, \quad n + 1 = m + 1 \rightarrow n = m,$

Addition $n + 0 = n, \quad n + (m + 1) = (n + m) + 1,$

Multiplication $n \cdot 0 = 0, \quad n \cdot (m + 1) = n \cdot m + n,$

Order $\neg m < 0, \quad m < n + 1 \leftrightarrow m \leq n,$

Σ_1^0 induction

$\varphi(0) \wedge \forall n(\varphi(n) \rightarrow \varphi(n + 1)) \rightarrow \forall n\varphi(n),$ for $\varphi \in \Sigma_1^0.$

Δ_1^0 comprehension

$\forall n(\varphi(n) \leftrightarrow \psi(n)) \rightarrow \exists X(\varphi(n) \leftrightarrow n \in X),$
for $\varphi \in \Sigma_1^0$ and $\psi \in \Pi_1^0.$

5. Table of determinacy

We have the following equivalences over RCA_0 :

System	Det. in $2^{\mathbb{N}}$ (-Det*)	Det. in $\mathbb{N}^{\mathbb{N}}$ (-Det)
ATR_0	Δ_2^0, Σ_2^0	Δ_1^0, Σ_1^0
$\text{ATR}_0 + \Sigma_1^1\text{-Ind}$	$\text{Sep}(\Delta_1^0, \Sigma_2^0)$	
$\Pi_1^1\text{-CA}_0$	$\text{Sep}(\Sigma_1^0, \Sigma_2^0)$	$\text{Sep}(\Delta_1^0, \Sigma_1^0), \Sigma_1^0 \wedge \Pi_1^0$
$\Pi_1^1\text{-TR}_0$	$\text{Sep}(\Delta_2^0, \Sigma_2^0)$	Δ_2^0
$\Sigma_1^1\text{-ID}$	$\Sigma_2^0 \wedge \Pi_2^0$	Σ_2^0
$[\Sigma_1^1]^2\text{-ID}$	$(\Sigma_2^0 \wedge \Pi_2^0) \vee \Sigma_2^0$	$\Sigma_2^0 \wedge \Pi_2^0$

6. Subsystems of second order arithmetic

ATR_0 RCA_0 + arithmetical transfinite recursion:

“For any arithmetical operator $\Psi : \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$ and a well-ordering X , we can iterate Ψ along X .”

6. Subsystems of second order arithmetic

ATR_0 RCA_0 + arithmetical transfinite recursion:

“For any arithmetical operator $\Psi : \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$ and a well-ordering X , we can iterate Ψ along X .”

$\Pi_1^1\text{-CA}_0$ RCA_0 + Π_1^1 comprehension:

“For any Π_1^1 operator $\Psi : \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$ and a set W , we have $\Psi(W)$ ”

6. Subsystems of second order arithmetic

ATR_0 $\text{RCA}_0 +$ arithmetical transfinite recursion:

“For any arithmetical operator $\Psi : \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$ and a well-ordering X , we can iterate Ψ along X .”

$\Pi_1^1\text{-CA}_0$ $\text{RCA}_0 + \Pi_1^1$ comprehension:

“For any Π_1^1 operator $\Psi : \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$ and a set W , we have $\Psi(W)$ ”

$\Pi_1^1\text{-TR}_0$ $\text{RCA}_0 + \Pi_1^1$ transfinite recursion:

“For any Π_1^1 operator $\Psi : \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$ and a well-ordering X , we can iterate Ψ along X .”

6. Subsystems of second order arithmetic

ATR_0 $\text{RCA}_0 +$ arithmetical transfinite recursion:

“For any arithmetical operator $\Psi : \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$ and a well-ordering X , we can iterate Ψ along X .”

$\Pi_1^1\text{-CA}_0$ $\text{RCA}_0 + \Pi_1^1$ comprehension:

“For any Π_1^1 operator $\Psi : \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$ and a set W , we have $\Psi(W)$ ”

$\Pi_1^1\text{-TR}_0$ $\text{RCA}_0 + \Pi_1^1$ transfinite recursion:

“For any Π_1^1 operator $\Psi : \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$ and a well-ordering X , we can iterate Ψ along X .”

$\Sigma_1^1\text{-ID}_0$ $\text{RCA}_0 + \Sigma_1^1$ inductive definition:

“For any Σ_1^1 operator $\Psi : \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$, we have a fixed point, i.e., W s.t. $\Psi(W) = W$ ”

5. Table of determinacy

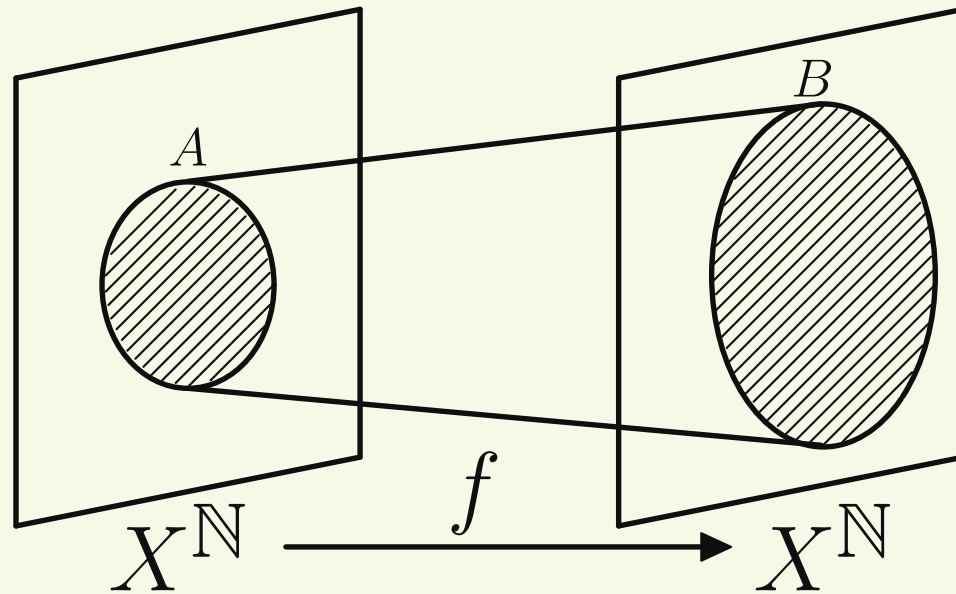
We have the following equivalences over RCA_0 :

System	Det. in $2^{\mathbb{N}}$ (-Det*)	Det. in $\mathbb{N}^{\mathbb{N}}$ (-Det)
ATR_0	Δ_2^0, Σ_2^0	Δ_1^0, Σ_1^0
$\text{ATR}_0 + \Sigma_1^1\text{-Ind}$	$\text{Sep}(\Delta_1^0, \Sigma_2^0)$	
$\Pi_1^1\text{-CA}_0$	$\text{Sep}(\Sigma_1^0, \Sigma_2^0)$	$\text{Sep}(\Delta_1^0, \Sigma_1^0), \Sigma_1^0 \wedge \Pi_1^0$
$\Pi_1^1\text{-TR}_0$	$\text{Sep}(\Delta_2^0, \Sigma_2^0)$	Δ_2^0
$\Sigma_1^1\text{-ID}$	$\Sigma_2^0 \wedge \Pi_2^0$	Σ_2^0
$[\Sigma_1^1]^2\text{-ID}$	$(\Sigma_2^0 \wedge \Pi_2^0) \vee \Sigma_2^0$	$\Sigma_2^0 \wedge \Pi_2^0$

7. Wadge reducibility?

For given $A, B \subseteq X^{\mathbb{N}}$, which is “simpler?”

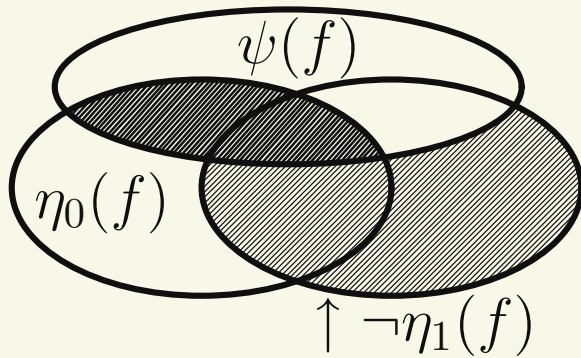
We say *A is Wadge reducible to B* if there is a continuous function $f : X^{\mathbb{N}} \rightarrow X^{\mathbb{N}}$ with $f^{-1}(B) = A$.



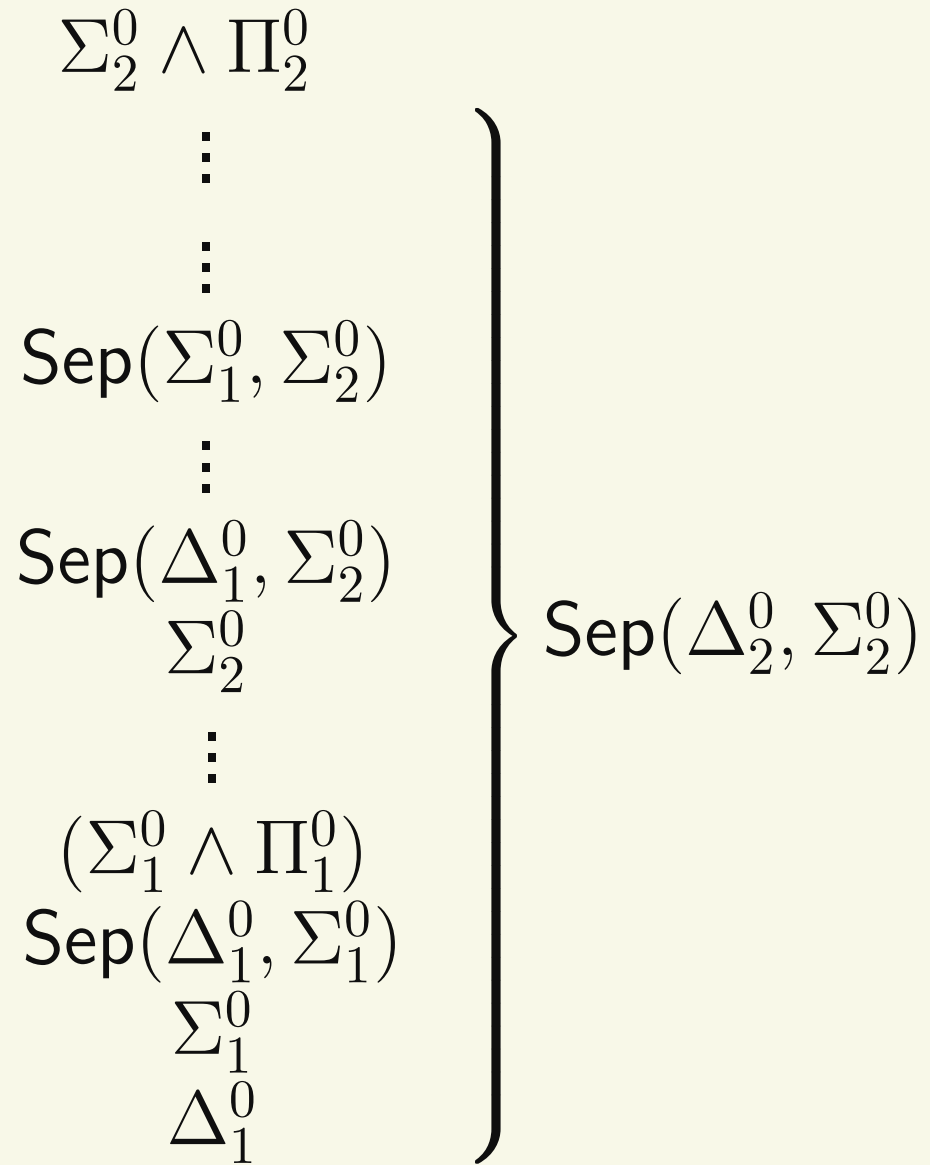
8. Description of Wedge classes

$\text{Sep}(\Gamma, \Gamma')$

$$(\psi(f) \wedge \eta_0(f)) \vee (\neg\psi(f) \wedge \neg\eta_1(f))$$



$\psi \in \Gamma$ and $\eta_i \in \Gamma'$



Remark: $\text{Sep}(\Delta_n^0, \Sigma_n^0) = \neg(\Sigma_n^0 \wedge \Pi_n^0) \cap (\Sigma_n^0 \wedge \Pi_n^0)$.

5. Table of determinacy

We have the following equivalences over RCA_0 :

System	Det. in $2^{\mathbb{N}}$ (-Det*)	Det. in $\mathbb{N}^{\mathbb{N}}$ (-Det)
ATR_0	Δ_2^0, Σ_2^0	Δ_1^0, Σ_1^0
$\text{ATR}_0 + \Sigma_1^1\text{-Ind}$	$\text{Sep}(\Delta_1^0, \Sigma_2^0)$	
$\Pi_1^1\text{-CA}_0$	$\text{Sep}(\Sigma_1^0, \Sigma_2^0)$	$\text{Sep}(\Delta_1^0, \Sigma_1^0), \Sigma_1^0 \wedge \Pi_1^0$
$\Pi_1^1\text{-TR}_0$	$\text{Sep}(\Delta_2^0, \Sigma_2^0)$	Δ_2^0
$\Sigma_1^1\text{-ID}$	$\Sigma_2^0 \wedge \Pi_2^0$	Σ_2^0
$[\Sigma_1^1]^2\text{-ID}$	$(\Sigma_2^0 \wedge \Pi_2^0) \vee \Sigma_2^0$	$\Sigma_2^0 \wedge \Pi_2^0$

9. $\text{Sep}(\Delta_1^0, \Sigma_2^0)\text{-Det} \Leftrightarrow \text{Sep}(\Sigma_1^0, \Sigma_2^0)\text{-Det} \Leftrightarrow \Sigma_1^1\text{-ID}_0$

Theorem

$$\text{Sep}(\Delta_1^0, \Sigma_2^0)\text{-Det} \Leftrightarrow \text{Sep}(\Sigma_1^0, \Sigma_2^0)\text{-Det} \Leftrightarrow \Sigma_2^0\text{-Det} \Leftrightarrow \Sigma_1^1\text{-ID}_0$$

Proof is similar to the case of the Cantor space.

Key point of Proof

Actually, for any Σ_2^0 game $\varphi(f)$, $\Sigma_1^1\text{-ID}_0$ proves the existence of the *winning set* W for player I, i.e.,

- $s \in W \rightarrow$ Player I wins $\varphi(f)$ at s
- $s \notin W \rightarrow$ Player II wins $\varphi(f)$ at s

By the above W , we can reduce $\text{Sep}(\Delta_1^0, \Sigma_2^0)$ and $\text{Sep}(\Sigma_1^0, \Sigma_2^0)$ games to Δ_1^0 and $\Sigma_1^0 \wedge \Pi_1^0$ game, respectively.

10. A New table of determinacy

We have the following equivalences over RCA_0 :

System	Det. in $2^{\mathbb{N}}$ (-Det [*])	Det. in $\mathbb{N}^{\mathbb{N}}$ (-Det)
ATR_0	Δ_2^0, Σ_2^0	Δ_1^0, Σ_1^0
$\text{ATR}_0 + \Sigma_1^1\text{-Ind}$	$\text{Sep}(\Delta_1^0, \Sigma_2^0)$	
$\Pi_1^1\text{-CA}_0$	$\text{Sep}(\Sigma_1^0, \Sigma_2^0)$	$\text{Sep}(\Delta_1^0, \Sigma_1^0), \Sigma_1^0 \wedge \Pi_1^0$
$\Pi_1^1\text{-TR}_0$	$\text{Sep}(\Delta_2^0, \Sigma_2^0)$	Δ_2^0
$\Sigma_1^1\text{-ID}$	$\Sigma_2^0 \wedge \Pi_2^0$	$\Sigma_2^0, \text{Sep}(\Delta_1^0, \Sigma_2^0), \text{Sep}(\Sigma_1^0, \Sigma_2^0)$
$[\Sigma_1^1]^2\text{-ID}$	$(\Sigma_2^0 \wedge \Pi_2^0) \vee \Sigma_2^0$	$\Sigma_2^0 \wedge \Pi_2^0$

10. A New table of determinacy

We have the following equivalences over RCA_0 :

System	Det. in $2^{\mathbb{N}}$ (-Det [*])	Det. in $\mathbb{N}^{\mathbb{N}}$ (-Det)
ATR_0	Δ_2^0, Σ_2^0	Δ_1^0, Σ_1^0
$\text{ATR}_0 + \Sigma_1^1\text{-Ind}$	$\text{Sep}(\Delta_1^0, \Sigma_2^0)$	
$\Pi_1^1\text{-CA}_0$	$\text{Sep}(\Sigma_1^0, \Sigma_2^0)$	$(\Delta_1^0, \Sigma_1^0), \Sigma_1^0 \wedge \Pi_1^0$
$\Pi_1^1\text{-TR}_0$	$\text{Sep}(\Delta_2^0, \Sigma_2^0)$	Δ_2^0
$\Sigma_1^1\text{-ID}$	$\Sigma_2^0 \wedge \Pi_2^0$	$\Sigma_2^0, \text{Sep}(\Delta_1^0, \Sigma_2^0), \text{Sep}(\Sigma_1^0, \Sigma_2^0)$
$[\Sigma_1^1]^2\text{-ID}$	$(\Sigma_2^0 \wedge \Pi_2^0) \vee \Sigma_2^0$	$\Sigma_2^0 \wedge \Pi_2^0$

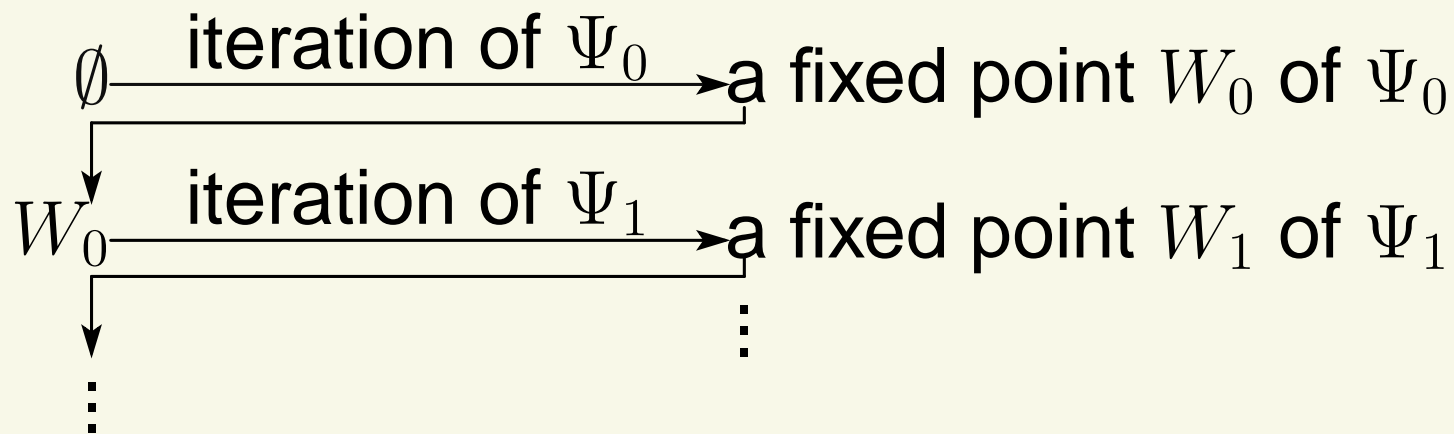
How about $\text{Sep}(\Delta_2^0, \Sigma_2^0)\text{-Det}$?

11. Σ_1^1 -TID

Σ_1^0 transfinite inductive definition (Σ_1^0 -TID)

“For any well ordering X and sequence $\langle \Psi_x : x \in X \rangle$, we have the sequence of set $\langle W_x : x \in X \rangle$ s.t.

$W_x =$ the fixed point of Ψ_x starting with $\bigcup_{y < x} W_y$



12. Σ_1^1 -TID and $\text{Sep}(\Delta_2^0, \Sigma_2^0)$ -Det

Theorem $\text{RCA}_0 + \Sigma_1^1\text{-TID}$ proves $\text{Sep}(\Delta_2^0, \Sigma_2^0)\text{-Det}$

Idea for the proof

- Δ_2^0 formula can be expressed as a disjoint union of transfinitely many $\Sigma_1^0 \wedge \Pi_1^0$ formulas.
- Therefore, $\text{Sep}(\Delta_2^0, \Sigma_2^0)$ game $(\psi(f) \wedge \eta_0(f)) \vee (\neg\psi(f) \wedge \eta_1(f))$ can be expressed as a disjoint union of transfinitely many Σ_2^0 and Π_2^0 formulas.
- Since, for any Σ_2^0 game, $\Sigma_1^1\text{-ID}$ yields the winning set for player I, iteration of Σ_1^1 inductive definition yields the winning set for player I in a $\text{Sep}(\Delta_2^0, \Sigma_2^0)$ game.

13. Strength of Σ_1^1 -TID

By modifying the proof of Σ_2^0 -Det \rightarrow Σ_1^1 -ID, we may have the proof of the following conjecture:

Conjecture Σ_1^1 -TID is equivalent to Σ_1^1 -ID over RCA_0 .

If the above conjecture is true, there is no hierarchy of determinacy between Σ_2^0 and $\Sigma_2^0 \wedge \Pi_2^0$ game in the Baire space, contrary to the case of the Cantor space.

References

- MedYahya Ould MedSalem and Kazuyuki Tanaka, Δ_3^0 *determinacy, comprehension and induction*, Journal of Symbolic Logic, 72 (2007) pp. 452–462.
- Takako Nemoto, *Determinacy of Wadge classes and subsystems of second order arithmetic*, Mathematical Logic Quarterly, 55 (2009) pp. 154–176.
- Takako Nemoto, *Corrigendum to “Determinacy of Wadge classes and subsystems of second order arithmetic,”* preprint, available at
<http://www.math.tohoku.ac.jp/~sa4m20/corrigendum.pdf>
- S.G. Simpson, *Subsystems of Second Order Arithmetic*, Springer (1999).
- K. Tanaka, *Weak axioms of determinacy and subsystems of analysis I: Δ_2^0 -games*, Z. Math. Logik Grundlag. 36 (1990), pp. 481–491.